

1. Name of Listed Entity: **:BHATKAWA TEA INDUSTRIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security : **of Rs.10/- each.**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) : **Reg. 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ended : **30.06.2023**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	NO
4	Whether the Listed Entity has any shares in locked-in?	-	NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, Locked-in-shares, no. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+VI</u>	Shareholding as a % total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2) As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X ) as a % of (A+B+C2) XI	Number of Locked in shares ** (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shar es held (b)	No. (a)		As a % of total Share s held (b)
								Class: X*	Class Y	Total								
(A)	Promoter & Promoter Group	5	1362786	0	0	1362786	60.84	1362786	0	1362786	60.84	0	0	0.00	0	0	1362786	
(B)	Public	314	877214	0	0	877214	39.16	877214	0	877214	39.16	0	0	0	0	0	633014	
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	Total	319	2240000	0	0	2240000	100.00	2240000	0	2240000	100.00	0	0	0.00	0	0	1995800	

\*Voting rights for the ordinary shares have been declared in class X

(II) Statement showing Shareholding Pattern of the Promoter and Promoter Group

(A) Shareholding of Promoter Group																			
Category	Category & name of the Shareholders (I)	PAN (II)	Number of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII= IV+V+VI	Shareholding % calculated as per SCRR, 1.957 as a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)				No. of Shares Outstanding securities (including (X))	Total shareholding % of convertible securities (as a % of (A+B+C2)) (XI)	Number of Locked in shares (XII)**		Number of Shares Pledged or otherwise encumbered (XIII)		Number of Equity shares held in dematerialized form (XIV)
									Number of Voting rights			Total as a % of Total Voting rights			No.(a)	As a % Total shares held (b)	No.(a)	As a % Total shares held	
									Class X*	Class Y	Total								
(A)	Promoter and Promoter Group																		
(1)	Indian																		
(a)	Individuals/ Hindu Undivided Family		5	1362786	0	0	13,62,786	60.84	1362786	0	1362786	60.84	0	0	0	0	0	0.00	13,62,786
1	SUDARSHAN JALAN HUF	AAFHS8950Q	1	96244	0	0	96244	4.30	96244	0	96244	4.30	0	0	0	0	0	0.00	96244
2	SHIVANK JALAN FAMILY TRU	AAMTS3210J	1	110000	0	0	110000	4.91	110000	0	110000	4.91	0	0	0	0	0	0.00	110000
3	MINAKSHI JALAN	ACUPJ7440H	1	574544	0	0	574544	25.65	574544	0	574544	25.65	0	0	0	0	0	0.00	574544
4	SUDARSHAN JALAN	ACXPJ3756F	1	337908	0	0	337908	15.09	337908	0	337908	15.09	0	0	0	0	0	0.00	337908
5	PADMANABH JALAN	AFWPJ0680C	1	244090	0	0	244090	10.90	244090	0	244090	10.90	0	0	0	0	0	0.00	244090
(d)	Any other (Bodies Corporate)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0
			5	1362786	0	0	13,62,786	60.84	1362786	0.00	1362786	60.84	0	0	0	0.00	0	0.00	13,62,786
(2)	Foreign																		
(a)	Individuals( Non-Resident individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
	Sub-Total (A) (2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		5	1362786	0	0	13,62,786	61	13,62,786	0	13,62,786	61	0	0	0	0.00	0	0.00	13,62,786

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Annexure A**  
**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)				
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
									Class X	Class Y	Total												
(1)	Institutions (Domestic)															NA							
(a)	Mutual Funds			0	0	0	0	0.00	0	0	0	0.00	0	0		NA			0	0	0	0	
(b)	Venture Capital Funds			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(c)	Alternate Investment Funds			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(d)	Banks			8	59556	0	0	59556	2.66	59556		59556	0.00	0	0		NA			0	0	0	
(1)	CENTRAL BANK INDIA			1	30800	0	0	30800	1.38	30800		30800	1.38	1					0	0	0	0	
(e)	Insurance Companies			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(f)	Provident / Pension Funds			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(g)	Asset Reconstruction Companies			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(h)	Sovereign Wealth Funds			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(i)	NBFCs registered with RBI			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(j)	Other Financial Institutions			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(k)	Any Other (specify)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
	Sub-Total B(1)			8	59556	0	0	59556	2.66	59556		59556	0.00	0	0		NA			0	0	0	0
(2)	Institutions (Foreign)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(a)	Foreign Direct Investment			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(b)	Foreign Venture Capital Investors			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(c)	Sovereign Wealth Funds			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(d)	Foreign Portfolio Investors Category I			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(e)	Foreign Portfolio Investors Category II			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(f)	Overseas Depositories (holding DRs) (balancing figure)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(g)	Any Other (specify)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
	Sub-Total B(2)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(3)	Central Government / State Government(s)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(a)	Central Government / President of India			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(b)	State Government / Governor			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
	Sub-Total B(3)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(4)	Non-institutions			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(a)	Associate companies /Subsidiaries			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(b)	Directors and their relatives (excluding independent directors and nominee directors)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(c)	Key Managerial Personnel (As per list enclosed)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(f)	Investor Education and Protection Fund (IEPF)			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs			274	147080	0	0	147080	6.57	147080	0	147080	0.00	0	0		NA			28948	0	0	0
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(i)	Non Resident Indians (NRIs)			15	52388	0	0	52388	2.34	52388	0	52388	0.00	0	0		NA			0	0	0	0
(j)	Foreign Nationals			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(k)	Foreign Companies			0	0	0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
(l)	Bodies Corporate			17	618190	0	0	618190	27.60	618190	0	618190	0.00	0	0		NA			604066	0	0	0
	M/S KIRTI INDUSTRIAL COMPANY LIMITED	AACCK18		1	316105			316105	14.11	316105		316105	0.00						316105	0			
	UPHAAR FINANCIAL SERVICES PVT LTD	AAACU63		1	166109			166109	7.42	166109		166109	0.00						166109	0			
	BHAKTI FISCAL PVT LTD	AACCB16		1	100700			100700	4.50	100700		100700	0.00						100700	0			
(m)	Any Other (specify)					0	0	0.00	0		0	0.00	0	0		NA			0	0	0	0	
	Sub-Total (B)(4)			306	817658	0	0	817658	36.50	817658		817658	0.00	0	0		NA			633014	0	0	0
	Total Public Shareholding					0	0	0.00				0.00											
	(B) = (B)(1) + (B)(2) + (B)(3) + B(4)			314	877214	0	0	877214	39.16	877214		877214	0.00	0	0		NA			633014	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**  
(1) PAN would not be displayed on website of Stock Exchange(s).  
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.  
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.  
(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.  
(5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:  
(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.  
(ii) Shareholders who have entered into shareholder agreement with the listed entity.  
(iii) Shareholders acting as persons in concert with promoters.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding as a % total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) As a % of (A+B+C2)	Number of Voting Rights held in each class			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked		Number of Shares		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(1)	Custodian/DR		NIL	NIL	NIL	NIL	N.A	N.A	NIL	NIL	NA	NA	NIL	NIL	NIL		NIL		
(a)	Name of DR Holder																NA		
(i)	abc,...																NA		
(ii)	efg,...																NA		
(2)	Employee Benefit																NA		
(a)	Name (abc...																NA		
	Total Non- Promoter-																NA		

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

**Table VI - Statement showing foreign ownership limits**

	<b>Board approved limits</b>	<b>Limits utilized</b>
As on shareholding date		
As on the end of previous 1st quarter		
As on the previous 2nd quarter		
As on the end of previous 3rd quarter		
As on the end of previous 4th quarter		



To,  
**M/S. BHATKAWA TEA INDUSTRIES LIMITED**  
2, Hare Street, Nicco House,  
Kolkata – 700 001

Dear Sirs/Madam,

I have examined the relevant books, registers, forms, documents and papers produced before us by Bhatkawa Tea Industries Limited ('the Company') and its Registrars and Transfer Agents, S. K. Infosolutions Private Limited, in respect of Reconciliation of Share Capital Audit as per Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. To the best of our knowledge and according to the information and explanations given to me and as shown by the records examined by me, I certify the following:

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

1	For Quarter Ended	30-06-2023	
2	ISIN	INE 794I01013	
3	Face Value	Rs. 10/-	
4	Name of the Company	BHATKAWA TEA INDUSTRIES LIMITED (CIN - L01132WB1911PLC002077)	
5	Registered Office Address	NICCO HOUSE, 6 <sup>th</sup> FLOOR, 2 HARE STREET, KOLKATA – 700001	
6	Correspondence Address	NICCO HOUSE, 6 <sup>th</sup> FLOOR, 2 HARE STREET, KOLKATA – 700001.	
7	Telephone & Fax Nos.	TEL -033- 2248 9916, FAX - 033-2248 5362	
8	Email address	contact@bhatkawa.com, cs@bhatkawa.com	
9	Whether listed or unlisted, details thereof	THE CALCUTTA STOCK EXCHANGE LIMITED	
		Number of shares	% of Total Issued Capital
10	Issued Capital	2240000	100.00
11	Listed Capital – Calcutta Stock Exchange (as per company records)	2240000	100.00
12	Held in dematerialised form in CDSL	250106	11.16
13	Held in dematerialised form in NSDL	1745694	77.94
14	Physical	244200	10.90
15	Total No. of shares (11+12+13)	2240000	100.00

UDIN: 23306951BGWUKY4074







16	Reasons for difference if any, between (10&15)	N.A.		
17	Certifying details of changes in share capital during the period under review as per table below:			
	Particulars***	No. of shares	whether intimated to CDSL	whether intimated to NSDL
	No change			
	*** Rights, Bonus, Private placements, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify).			
18	Details of shares held by	Demat	Physical	Total
	Promoters	1362786	0	1362786
	Directors	244090	0	244090
	KMP's	0	0	0
19	Register of Members is updated (Yes / No )	YES		
20	If not, updated up to which date	N.A.		
21	Reference of previous period with regards to excess dematerialised shares, if any.	NIL		
22	Has the company resolved the matter mentioned in point no. 20 above in the current period? If not, reason why?	N.A.		
23	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
	Confirmed after 21 Days	NIL	N.A.	N.A.
	Pending for more than 21 days	NIL	N.A.	N.A.
24	Name, Telephone & Fax No. of the Compliance Officer of the Co.	MRS. DHARINI MEHTA, COMPANY SECRETARY Mem No. A26814 PHONE NO. – 033-22489916 FAX NO. – 033 -22485362		
25	Name, Address, Tel. & Fax No., Regn. no. of the Auditor	CA SHRUTI DOMADIA Firm – M/S. DOMADIA & KHAITAN (FRN – 328652E) 33A, KALIDAS PATITUNDI LANE, KOLKATA – 700026, (M) 90070 00111; ICAI MEM. NO.- 306951		
26	Appointment of common agency for share registry work if yes (name & address)	S. K. INFOSOLUTIONS PVT. LTD., [SEBI REGN. NO.: INR000003886] D/42, Katju Nagar, Ground Floor, (Near South City		

UDIN: 23306951BGWUKY4074







**Domadia & Khaitan**  
CHARTERED ACCOUNTANTS

Shruti Domadia, FCA

E-mail : shruti.dk@domadia.in

Contact : +91 90070 00111

		Mall), Jadavpur, Kolkata – 700 032 PHONE NO.- 033-24120029, FAX NO.- 033-24120027 E-MAIL: skcdilip@gmail.com WEBSITE: www.skcinfo.com
27	Any other detail that the auditor may like to provide	NIL

**FOR DOMADIA & KHAITAN  
CHARTERED ACCOUNTANTS**

**FRN: 328652E**



**Place: Kolkata**

**Date: 13<sup>th</sup> July, 2023**

*Shruti Domadia*

**SHRUTI DOMADIA  
PROPRIETOR**

**MEM NO: 306951**

**UDIN: 23306951BGWUKY4074**

UDIN: 23306951BGWUKY4074

# BHATKAWA TEA INDUSTRIES LIMITED

Trusted Certified Company | CIN : L01132WB1911PLC002077

BK/CSE

BK/CSE

17<sup>th</sup> July, 2023

To

The Calcutta Stock Exchange Limited,

7, Lyons Range,

Kolkata – 700 001

Dear Sirs/ Ma'am,

**Re: Scrip No. 12018**

**Sub: Disclosure of Reg 13(1)**

This is to certify that we have received complaint letters from the shareholders for the period ended 30.06.2023 and the same has been disposed of.

The details of the complaints are below which is mentioned in the Statement of Investor complaints as per Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 –

Sl. No	Particulars	No. of complaints
1	Number of Investor complaints pending at the beginning of the year	0
2	Number of Investor Complaints received during the year	3
3	Number of Investor Complaints disposed of during the year	3
4	Number of Investor Complaints remaining unresolved at the end of the year	0

Thanking you.

Yours faithfully,

*Dharini Mehta*



**Dharini Mehta**

**Company secretary**

"NICCO HOUSE," 6TH FLOOR, 2, HARE STREET, KOLKATA-700 001

TELE : 91 33 2248 9916/5422/5431, FAX : 91 33 2248 5362

e-mail : [contact@bhatkawa.com](mailto:contact@bhatkawa.com)

Website : [www.bhatkawatea.com](http://www.bhatkawatea.com)

# BHATKAWA TEA INDUSTRIES LIMITED

Trusted Certified Company | CIN : L01132WB1911PLC002077

BK/CSE

17<sup>th</sup> July, 2023

To

The Calcutta Stock Exchange Limited,

7, Lyons Range,

Kolkata – 700 001

Dear Sirs/ Ma'am,

**Re: Scrip No. 12018**

**Sub: Disclosure of Reg 13(3) – Statement of Investor complaints**

Please find below a statement of investor complaints for the year quarter ended 30.06.2023 pursuant to compliance under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 –

Sl. No	Particulars	No. of complaints
1	Number of Investor complaints pending at the beginning of the year	0
2	Number of Investor Complaints received during the year	3
3	Number of Investor Complaints disposed of during the year	3
4	Number of Investor Complaints remaining unresolved at the end of the year	0

Thanking you.

Yours faithfully,

**For Bhatkawa Tea Industries Limited**

*Dharini Mehta*



**Dharini Mehta**

**Company secretary & Compliance officer**

# REPORT ON CORPORATE GOVERNANCE ON QUARTERLY BASIS FOR 30.06.2023

1. Name of Listed Entity - Bhatkawa Tea Industries Ltd

2. Quarter ending – 30<sup>th</sup> June, 2023

## I. Composition of Board of Directors

Title (Mr/ Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/ Non-Executive/ independent / Nominee)	Special Resolution passed and Date of SR	Initial Date of Appointment	Date/ of Reappointment	Date of Cessation	Date of Birth	No. Of directors hip in listed entities including this listed entity	No of Independent Directorsh ip in listed entities including this listed entity	Number of member ships in Audit/ Stakeholder Committ ee(s) including this listed entity	No of post of Chairpers on in Audit/ Stakehold er Committe e held in listed entities including this listed entity
Mr.	Padmanabh Jalan	AFWPJ0680C /01651268	Wholetime Director	Yes 28/09/2020	31/07/2009	01/04/2020	-	17/02/1987	2	0	2	0
Mrs.	Rashmi Chitlangia	ADSPC8231G /07171476	Non-Executive Independent Woman Director	Yes 28/09/2015	15/05/2015	28/09/2015	-	26/06/1966	2	2	2	1
Mr.	Krishna Prasad Bagaria	ADZPB4942N /00938163	Non – Executive Independent Director	Yes 20/09/2021	13/11/2020	20/09/2021	-	24/05/1960	3	1	1	1
Mr.	Alok Gupta	AJUPG8241L /00781993	Non – Executive Non Independent Director	NA	26/12/2018	28/09/2020	28/12/2022	28/02/1965	1	1	1	0
Mr.	Arjun Mitra	AEWPM8300F /06969237	Non – Executive Independent Director	No	16/12/2022	-	-	03/11/1962	1	1	2	0

- Whether Regular chairperson appointed – Yes

- Whether Chairperson is related to managing director or CEO - No



**II. Composition of Committees**

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. Padmanabh Jalan	01651268	Executive	14-11-2009	-
		Mrs. Rashmi Chitlangia	07171476	Chairperson, Non- Executive, Independent	14-11-2015	-
		Mr. Krishna Prasad Bagaria	00938163	Non- Executive Independent	04-05-2021	
2. Nomination & Remuneration Committee	Yes	Mrs. Rashmi Chitlangia	07171476	Chairperson, Non- Executive, Independent	14-11-2015	-
		Mr. Alok Gupta	00781993	Non- Executive, Non Independent	26-12-2018	28-12-2022
		Mr. Krishna Prasad Bagaria	00938163	Non- Executive Independent	04-05-2021	
		Mr. Arjun Mitra	06969237	Non- Executive Independent	28-12-2022	
3. Stakeholders Relationship Committee'	Yes	Mrs. Rashmi Chitlangia	07171476	Chairperson, Non- Executive, Independent	14-11-2015	-
		Mr. Padmanabh Jalan	01651268	Executive	14-11-2009	-
		Mr. Alok Gupta	00781993	Non- Executive, Non Independent	26-12-2018	28-12-2022
		Mr. Arjun Mitra	06969237	Non- Executive Independent	28-12-2022	



**III. Meeting of Board of Directors**

Ann Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
02/01/2023	29/05/2023	Yes	4	3	62
10/02/2023					
27/03/2023					

**IV. Meetings of Committees**

Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive (in number of days)
Audit Committee					
29/05/2023	Yes	3	2	10/02/2023	
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					
**to be filled in only for the current quarter meetings					



**V. Related Party Transactions**

Subject	Compliance status (Yes/No/NA)
	refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes
Note: 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.	

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.	Yes
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	
a. Audit Committee	Yes
b. Nomination & Remuneration Committee	Yes
c. Stakeholders Relationship Committee	Yes
d. Risk management committee (applicable to the top 500 listed entities)	NA
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.	Yes

**For Bhatkawa Tea Industries Limited**

**Dharini Mehta**  
**Company secretary & Compliance officer**  
**Dated: 17/07/2023**





# BHATKAWA TEA INDUSTRIES LIMITED

Trusted Certified Company | CIN : L01132WB1911PLC002077

BK/CSE

Date: 17/07/2023

To

The Calcutta Stock Exchange Limited,  
7, Lyons Range,  
Kolkata – 700 001

Dear Sirs/ Ma'am,

**Re: Scrip No. 12018**

**Sub: Disclosure of Reg 32(1) - Document for variations in utilization of issue proceeds**

Pursuant to the provision of Regulation 32(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 regarding the Statement of deviation or variation in public issue, rights issue, preferential issue etc., we would like to inform that the said Regulation 32(1) is not applicable for the Company as there is no offer made or funds raised by way of Public Issue, Right Issue, Preferential Issue etc. during the Quarter ended 30.06.2023 and hence the Statement not submitted.

Thanking you.

Yours faithfully,

**For Bhatkawa Tea Industries Limited**

*Dharini Mehta*



**Dharini Mehta**

**Company secretary & Compliance officer**